

Formalising and Reusing of Proofs

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Talk's Plan

- 1 Motivation: formalisation - proofs & deduction
- 2 Formalisations versus programs
 - The Prototype Verification System - PVS
 - A case study: Security of Cryptographic Protocols
- 3 Reusing formalisations
- 4 Conclusions and Future Work

Mathematical proofs - logic & deduction

Table: RULES OF NATURAL DEDUCTION FOR PROPOSITIONAL LOGIC

introduction rules	elimination rules
$\frac{\varphi \quad \psi}{\varphi \wedge \psi} (\wedge_i)$	$\frac{\varphi \wedge \psi}{\varphi} (\wedge_e)$
$\frac{\varphi}{\varphi \vee \psi} (\vee_i)$	$\frac{\begin{array}{c} [\varphi]^u \\ \vdots \\ \varphi \vee \psi \\ \chi \end{array} \quad \begin{array}{c} [\psi]^v \\ \vdots \\ \chi \end{array}}{\chi} (\vee_e), u, v$
$\frac{\begin{array}{c} [\varphi]^u \\ \vdots \\ \psi \end{array}}{\varphi \rightarrow \psi} (\rightarrow_i), u$	$\frac{\varphi \quad \varphi \rightarrow \psi}{\psi} (\rightarrow_e)$
	$\frac{\begin{array}{c} [\neg\varphi]^u \\ \vdots \\ \varphi \end{array}}{\perp} (\perp_e), u$

Mathematical proofs - logic & deduction

Table: Rules of Natural Deduction for Predicate logic with equality

introduction	elimination
$\frac{}{t = t} (=i)$	$\frac{t_1 = t_2 \quad \varphi[x/t_1]}{\varphi[x/t_2]} (=e)$
$\frac{\boxed{\begin{array}{c} y \text{ indep.} \\ \vdots \\ \varphi[x/y] \end{array}}}{\forall x \varphi} (\forall i)$	$\frac{\forall x \varphi}{\varphi[x/t]} (\forall e)$
$\frac{\varphi[x/t]}{\exists x \varphi} (\exists i)$	$\frac{\exists x \varphi \quad \boxed{\begin{array}{c} [\varphi[x/y]]^u \\ y \text{ indep.} \\ \vdots \\ \chi \end{array}}}{\chi} (\exists e), u$

Mathematical proofs - logic & deduction

Table: ENCODING \neg - RULES OF NATURAL DEDUCTION FOR CLASSICAL LOGIC

introduction rules	elimination rules
$\frac{\begin{array}{c} [\varphi]^u \\ \vdots \\ \perp \end{array}}{\neg\varphi} (\neg_i), u$	$\frac{\varphi \quad \neg\varphi}{\perp} (\neg_e)$

$$\frac{\begin{array}{c} [\varphi]^u \\ \vdots \\ \perp \end{array}}{\varphi \rightarrow \perp} (\rightarrow_i), u$$

$$\frac{\varphi \quad \varphi \rightarrow \perp}{\perp} (\rightarrow_e)$$

Mathematical proofs - logic & deduction

Interchangeable rules:

$$\frac{\neg\neg\phi}{\phi} (\neg\neg_e) \qquad \frac{}{\phi \vee \neg\phi} (\text{LEM}) \qquad \frac{\begin{array}{c} [\neg\phi]^a \\ \vdots \\ \perp \end{array}}{\phi} (\neg_e), a$$

Mathematical proofs - logic & deduction

Examples of deductions. Assuming $(\neg\neg_e)$, LEM holds:

$$\begin{array}{c}
 \frac{[\neg(\phi \vee \neg\phi)]^x}{\perp} \quad \frac{[\phi]^u}{\phi \vee \neg\phi} \begin{array}{l} (\vee_i) \\ (\neg_e) \end{array} \\
 \hline
 \frac{\perp}{\neg\phi} \quad (\neg_i), u \\
 \hline
 \frac{[\neg(\phi \vee \neg\phi)]^x}{\phi \vee \neg\phi} \quad (\vee_i) \\
 \hline
 \frac{\perp}{\neg\neg(\phi \vee \neg\phi)} \quad (\neg_e) \\
 \hline
 \frac{\neg\neg(\phi \vee \neg\phi)}{\phi \vee \neg\phi} \quad (\neg\neg_e), x
 \end{array}$$

Mathematical proofs - logic & deduction

A derivation of Peirce's law, $((\phi \rightarrow \psi) \rightarrow \phi) \rightarrow \phi$:

$$\begin{array}{c}
 \frac{\frac{\frac{[\neg\phi]^u}{\neg\psi \rightarrow \neg\phi} \rightarrow_i, \emptyset}{\neg\phi} \quad \frac{[\neg\psi]^v}{(\rightarrow_e)} [\phi]^w}{\perp} \quad (\neg_e) \quad v}{\psi} \quad (\text{PBC}), v \\
 \frac{\psi}{\phi \rightarrow \psi} \quad (\rightarrow_i), w \\
 \frac{\phi \rightarrow \psi}{\phi} \quad (\rightarrow_e) \\
 \frac{[\neg\phi]^u \quad \frac{((\phi \rightarrow \psi) \rightarrow \phi)]^x}{\perp}}{\phi} \quad (\neg_e) \\
 \frac{\perp}{\phi} \quad (\text{PBC}), u \\
 \frac{\phi}{((\phi \rightarrow \psi) \rightarrow \phi) \rightarrow \phi} \quad (\rightarrow_i), x
 \end{array}$$

A very little list of related work

- Reusing proofs (T.Kolbe & C.Walter, 1994): fixing successful proof strategies through learning methods;
- Reuse of proofs in software verification (Wolfgang Reif & Kurt Stenzel, 1993): reusing proofs and proof attempts after software modifications;
- Similarities and Reuse of Proofs in Formal Software Verification (Erica Melis & Axel Schairer, 1998): reusing subproofs;
- How mathematicians prove theorems?



Learning from how mathematicians prove theorems

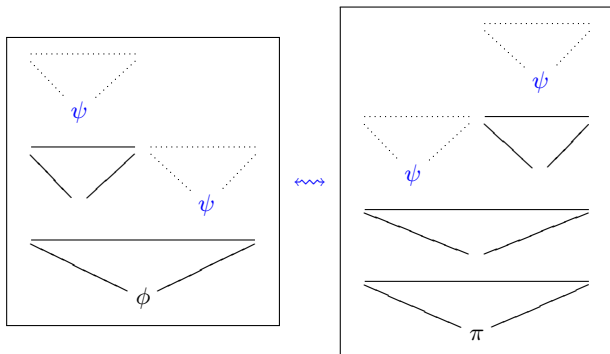


Figure: Inference of Lemmas

Learning from how mathematicians prove theorems

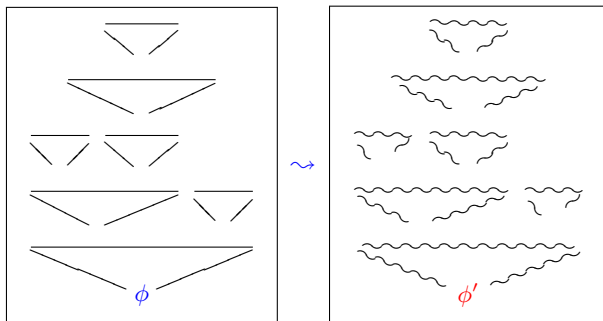


Figure: Analogy

Learning from how mathematicians prove theorems

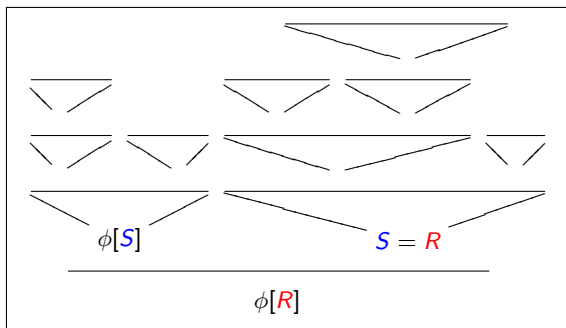


Figure: Equational reasoning

The Prototype Verification System - PVS

PVS is a verification system, developed by the SRI International Computer Science Laboratory, which consists of

- 1 a specification language:
 - based on higher-order logic;
 - a type system based on Church's simple theory of types augmented with subtypes and dependent types.
- 2 an interactive theorem prover:
 - based on sequent calculus; that is, goals in PVS are sequents of the form $\Gamma \vdash \Delta$, where Γ and Δ are finite sequences of formulae, with the usual Gentzen semantics.



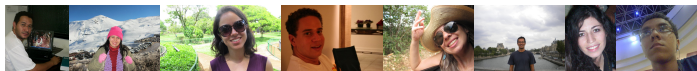
GTC/Universidade de Brasília & PVS

- Term Rewriting Systems PVS library [trs](#) AR & Galdino UnB
- First-Order Unification PVS library [unification](#) AR & Avelar UnB
- Group theory PVS library [groups](#) Galdino UFG

All them available in the NASA LaRC PVS libraries:

<http://shemesh.larc.nasa.gov/fm/ftp/larc/PVS-library/pvslib.html>

- Air traffic CD&R (KB2D \rightsquigarrow ACCoRD) AR & Galdino, Muñoz (NIA/NASA LaRC)
- Automating termination AR & Goodloe & Muñoz (NASA LaRC)
- [Cryptography](#) AR & Regô, Nantes & Fernández (King's College London)



Formal methods in cryptography

- Why proving mathematically security requirements?
- Authentication protocol of Needham-Schroeder
 - was considered during 17 years to be secure.
 - but Lowe detected a “man-in-the-middle” vulnerability in this protocol [Lowe 95,6].
- Example: formalisation of the security of the Dolev-Yao two-party cascade protocol [Dolev-Yao 83].
 - Joint work with Rodrigo Nogueira [2010] and Yuri Santos Rêgo [2012].



Cryptographic operations over monoids

- Any user $u \in U$ owns E_u and D_u .
 - $E = \{E_u \mid u \in U\}$
 - $D = \{D_u \mid u \in U\}$
- $\Sigma = E \cup D$
- Σ^* set of words over Σ .
- Monoid freely generated by Σ and congruences:

$$E_u D_u = \lambda \quad D_u E_u = \lambda, \quad \forall u \in U \quad (1)$$

- $E_u(D_u(M)) = D_u(E_u(M)) = M, \forall M$ plain text.

Formalisation of security for cascade protocols

Theorem (Characterisation of security)

A cascade protocol P is secure iff,

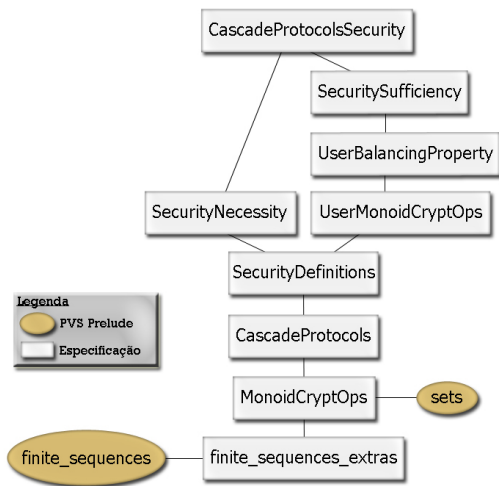
- (i) it satisfies the initial security property and*
- (ii) it is balanced.*

Formalisation in PVS

```
theorem1 : THEOREM FORALL (prot : welldefined_protocol,  
                           x : U, y : U | x /= y, z : U | z /= x AND z /= y) :  
  secure_protocol?(prot, x, y, z) IFF  
  ( alpha0ContainsE?(prot, x, y) AND balanced_cascade_protocol?(prot) )
```



Structure of the PVS formalisation



Reusing proofs

Why?

- Formalising is an exhaustive process that takes years.
 - Our case study on the DY security takes **more than two years!**
 - Size of the specification: 1.651 lines (80 KB), but
 - Size of the Formalisation: **55.300 lines (3.8 MB)!**
- Small changes in the specification, implies rebuilding proofs from scratch.
- As well, use of alternative data structures, implies rebuilding proofs from scratch.



Reusing proofs - changing data structures

- Instead sequences, use lists



Reusing proofs

Definition (Isomorphism between poly-sorted signatures)

Let $\langle \mathcal{A}, \mathcal{F}, \mathcal{R} \rangle$ and $\langle \mathcal{B}, \mathcal{G}, \mathcal{P} \rangle$ be signatures consisting of families of sets $\mathcal{A} = \{A_1, \dots, A_n\}$ and $\mathcal{B} = \{B_1, \dots, B_n\}$, functions $\mathcal{F} = \{f_1, \dots, f_k\}$ and $\mathcal{G} = \{g_1, \dots, g_k\}$ and relations $\mathcal{R} = \{r_1, \dots, r_l\}$ and $\mathcal{P} = \{p_1, \dots, p_l\}$. An isomorphism between these structures, ι is a bijective mapping from the families of sets, and from functions into functions and relations into relations, such that the following preservation properties hold:

- For all $f \in \mathcal{F}$, and m -tuple of well-typed arguments for f , x_1, \dots, x_m , supposing f is an m -ary function, $\iota(f(x_1, \dots, x_m)) = f^\iota(\iota(x_1), \dots, \iota(x_m))$;
- For all $p \in \mathcal{P}$, and m -tuple of well-typed arguments for p , x_1, \dots, x_m , supposing p is an m -ary predicate, $\iota(p(x_1, \dots, x_m))$ if and only if $\iota^h(\iota(x_1), \dots, \iota(x_m))$.



Reusing proofs

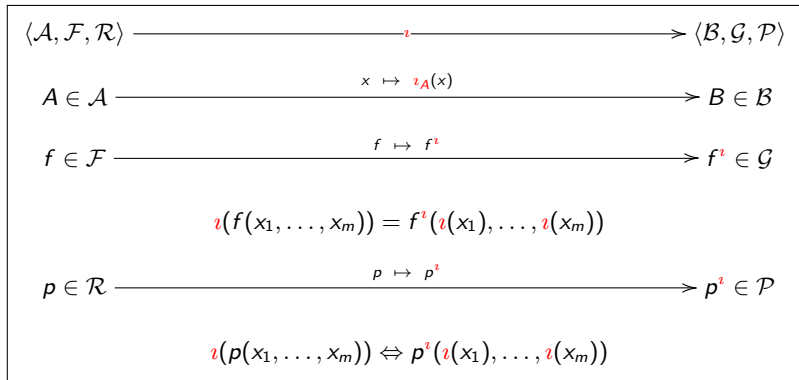


Figure: Isomorphism between poly-sorted signatures



Reusing proofs — Examples



$$\langle \mathbb{R}, +, 0, > \rangle \xrightarrow{z} \langle \mathbb{R}^+, \times, 1, > \rangle$$
$$\mathbb{R} \xrightarrow{x \mapsto z(x) := \exp(x)} \mathbb{R}^+$$
$$+ \xrightarrow{+ \mapsto +^z := \times} \times$$
$$0 \xrightarrow{0 \mapsto 0^z := 1} 1$$
$$> \xrightarrow{> \mapsto >^z := \Rightarrow} >$$

Reusing proofs — Examples

ι is the function \ln . Thus, one has two useful lemmas:

Lemma (isomorphism 1) $\iota \circ \iota$ is the identity in \mathbb{R}

Lemma (isomorphism 2) $\iota \circ \iota$ is the identity in \mathbb{R}^+

Homeomorphic properties for the isomorphism and its inverse:

Lemma (preservation of $+$) $\forall x, y : \mathbb{R}. \iota(x + y) = \iota(x) + \iota(y)$

Lemma (preservation of >1) $\forall x, y : \mathbb{R}. x > y \Leftrightarrow \iota(x) > \iota(y)$

Lemma (preservation of \times) $\forall x, y : \mathbb{R}^+. \iota(x \times y) = \iota(x) \times \iota(y)$

Lemma (preservation of >2) $\forall x, y : \mathbb{R}^+. x > y \Leftrightarrow \iota(x) > \iota(y)$



Reusing proofs — Examples

Theorem (additive inverse) $\forall x : \mathbb{R}. x + (-x) = 0$

Theorem (ln of mult. inverses) $\forall x : \mathbb{R}^+. \ln(x^{-1}) = -\ln(x)$



Reusing proofs — Examples

Theorem (multiplicative inverse) $\forall x : \mathbb{R}^+ . x \times x^{-1} = 1$

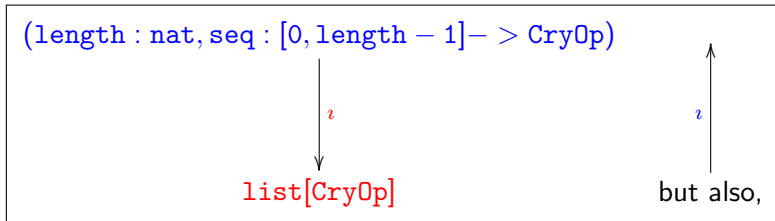
can be proved as follows:

- 1 $x \times x^{-1} = \exp \circ \ln(x \times x^{-1})$, by Lemma isomorphism 2;
- 2 $\exp \circ \ln(x \times x^{-1}) = \exp(\ln(x) + \ln(x^{-1}))$, by preservation of \times ;
- 3 $\exp(\ln(x) + \ln(x^{-1})) = \exp(\ln(x) + -\ln(x))$, by **Theorem of ln of mult. inverses**;
- 4 $\exp(\ln(x) + -\ln(x)) = \exp(0)$, by **Theorem of additive inverse**;
- 5 $\exp(0) = 1$, by application of the isomorphism \exp .



Reusing proofs — Case of study

Changing **sequences** for **lists** in the formalisation of security of cryptographic protocols, implies construction of several operators:



Reusing proofs — Case of study

For illustration, consider reusing the proof of

Theorem(length of empty sequences)

$$s.\text{length} = 0 \text{ IFF } s = \text{empty_seq}$$

to prove that the following analogous result over lists.

Theorem(length of null list)

$$\text{length}(l) = 0 \text{ IFF } l = \text{null}$$



Reusing proofs — Case of study

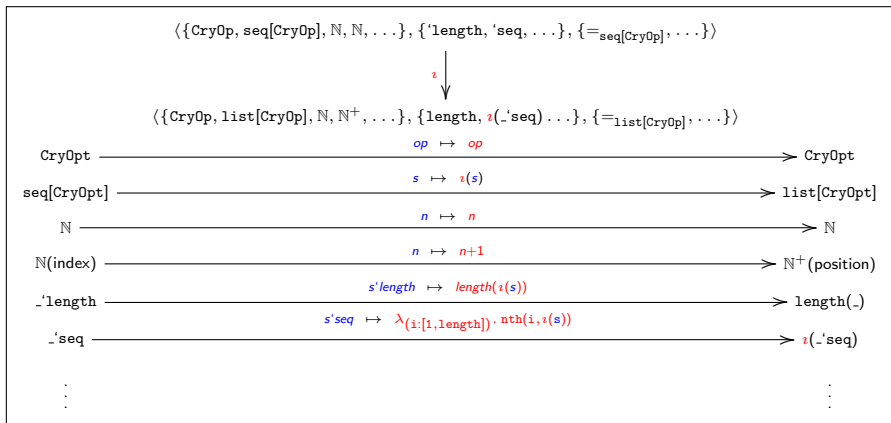


Figure: Isomorphism between sequences and lists of CryOps

Reusing proofs — Case of study

$(\text{length} : \text{nat}, \text{seq} : [0, \text{length} - 1] \rightarrow \text{CryOp})$



$\text{list}[\text{CryOp}]$

Specification transformation from **Sequences** to **lists**:

```
 $\zeta(s : \text{seq}[\text{CryOp}])$  RECURSIVE :  $\text{list}[\text{CryOp}] =$   
IF  $s.\text{length} = 0$  THEN null  
ELSE  $\text{cons}(s.\text{seq}(0), \zeta(s(1, s.\text{length} - 1)))$   
ENDIF  
MEASURE  $\text{seq}.\text{length}$ 
```



Reusing proofs — Case of study

Homeomorphic properties should be formalized as, for instance:

$$\text{Lemma A1 } \mathit{z}(s'\text{length}) = \text{length}(\mathit{z}(s))$$

$$\text{Lemma A2 } \mathit{z}(s'\text{seq}) = \lambda_{(i:[1,s'\text{length}])}.\text{nth}(i, \mathit{z}(s))$$

$$\text{Lemma A3 } \mathit{z}(s'\text{seq}(k)) = (\lambda_{(i:[1,s'\text{length}])}.\text{nth}(i, \mathit{z}(s)))\mathit{z}(k)$$

Observe, that one has:

$$(\lambda_{(i:[1,s'\text{length}])}.\text{nth}(i, \mathit{z}(s)))\mathit{z}(k) \rightarrow_{\beta}$$

$$(\lambda_{(i:[1,s'\text{length}])}.\text{nth}(i, \mathit{z}(s)))(k + 1) \rightarrow_{\beta} \text{nth}(k + 1, \mathit{z}(s)),$$

thus, by lemma A3, $\mathit{z}(s'\text{seq}(k)) = \text{nth}(k + 1, \mathit{z}(s))$.



Reusing proofs — Case of study

$(\text{length} : \text{nat}, \text{seq} : [0, \text{length} - 1] \rightarrow \text{CryOp})$



$\text{list}[\text{CryOp}]$

Specification transformation from **lists** to **Sequences**:

$\zeta(l : \text{list}[\text{CryOp}]) : \text{seq}[\text{CryOp}] =$
 $(\# \text{length} = \text{length}(l),$
 $\text{seq} = \lambda(i:[0, \text{length}(l)-1]).\text{nth}(i+1, l) \#)$

Reusing proofs — Case of study

Also, homeomorphic properties should be formalized, as for instance:

Lemma B1 $\iota(\text{length}(l)) = (\iota(l))' \text{length}$

Lemma B2 $\iota(\text{nth}(k, l)) = (\iota(l))' \text{seq}(\iota(k))$

Notice that

$$\lambda_{(i:[0, \text{length}(l)-1])}. \text{nth}(i+1, l)(\iota(k)) =$$

$$\lambda_{(i:[0, \text{length}(l)-1])}. \text{nth}(i+1, l)(k-1) \rightarrow_{\beta} \text{nth}(k, l).$$

Reusing proofs — Case of study

Formalisation of isomorphic properties is necessary:

Lemma isomorphism 1 $\forall s : \text{seq}[\text{CryOp}]. \iota \circ \iota(s) = s$

Lemma isomorphism 2 $\forall l : \text{list}[\text{CryOp}]. \iota \circ \iota(l) = l$

The presented properties are not exhaustive!

Reusing proofs — Case of study

Reusing **Theorem** $s.\text{length} = 0$ IFF $s = \text{empty_seq}$ to prove
Theorem $\text{length}(l) = 0$ IFF $l = \text{null}$:

$\text{length}(l) = 0 \Leftrightarrow$
 $\iota(\text{length}(l) = 0) \Leftrightarrow$
 $\iota(\text{length}(l)) = \iota(0) \Leftrightarrow$
 $\iota(\text{length}(l)) = 0 \Leftrightarrow$
 $\iota(l).\text{length} = 0$ IFF
 $\iota(l) = \text{empty_seq} \Leftrightarrow$
 $\iota(\iota(l) = \text{empty_seq}) \Leftrightarrow$
 $\iota(\iota(l)) = \iota(\text{empty_seq}) \Leftrightarrow$
 $l = \iota(\text{empty_seq}) \Leftrightarrow$
 $l = \text{null}$

appl. of isomorphism operator
isomorphism properties
isomorphism properties
isomorphism properties
reuse of **Theorem**
application of isomorphism
isomorphism properties
isomorphism properties
isomorphism properties
□



Reusing proofs — Case of study

Summarizing, the approach to reuse formalizations through isomorphic transformations involves two main steps:

- 1 Construction and formalization of isomorphisms:
 - 1 Construction of isomorphic transformations between data structures, functions and relations;
 - 2 Formalization of isomorphic and homeomorphic properties;
- 2 Reuse of proofs.

Once the first step is completed, proofs by reusing formalizations of equational and relational theorems follow the sketches in Fig. 6 and 7, respectively.



Reusing proofs — Case of study

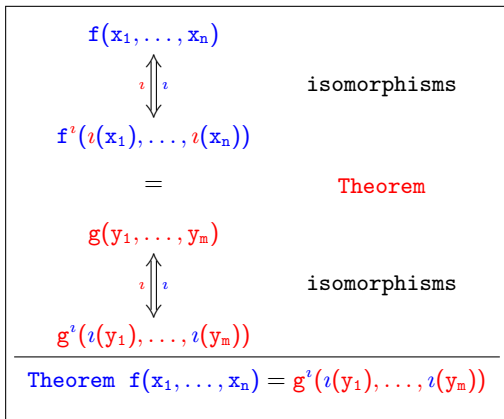


Figure: General sketch of reusing equational proofs by isomorphisms



Reusing proofs — Case of study

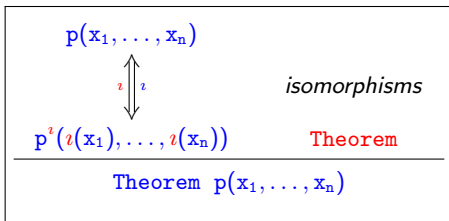


Figure: General sketch for reusing relational proofs by isomorphisms

Conclusions

- Reusing proofs is not straightforward.
- Building poly-sorted isomorphisms works well, but is an exhaustive task.
- Although this, after specifying isomorphism operators and having proved all mundane isomorphic properties complex proofs can be reused.



Future Work

- As a case study the formalisation of security of the Dolev-Yao model is being translated to other data structures.
 - More abstract approaches are possible: starting from mathematical properties proved over algebraic structures trying to work independently of any data structure.
 - The size of the formalisation should be big enough in order to have a relatively small part related with isomorphisms. For example, the formalisation on D-Y security has size ca 80 KB and 3.8 MB specification and formalisation, respectively.
- Several related academic projects involving generation of PVS libraries are to be supervised in the GTC at the UnB.



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